1

NATIONAL LABOR RELATIONS BOARD CASEHANDLING MANUAL

(PART THREE)

COMPLIANCE PROCEEDINGS



September 1993

For sale by the Superintendent of Documents, U.S. Government Printing Office Washington, D.C. 20402

Introduction

I am pleased to issue this new edition of the National Labor Relations Board Casehandling Manual (Part Three), Compliance Proceedings. The edition incorporates the many legal and procedural developments in the compliance field since the prior edition issued in 1989 and has been reorganized to allow greater ease of use.

Prompt compliance with Board orders or court judgments is critical to effective administration of the Act. As a result, compliance activity is one of the Agency's most significant functions. The new manual is issued pursuant powers delegated to me by the Board, and authority under Section 3(d) of the Act, and provides you with the procedural and operational guidance necessary for high-quality performance in this very specialized casehandling area. As in the past, the manual contains case citations that are designed to serve as a starting point for legal research. As a word of caution, however, these citations are not intended to be exhaustive, nor a substitute for knowledge of the law.

This revision reflects the work of many employees, both in Headquarters and in the field. While it is not possible to recognize each contributor here, special mention should be made of the members of the committee which had overall responsibility for the project: Laura Atkinson, Assistant to the Regional Director, Region 9, Cincinnati; Rodney Johnson, Supervisory Examiner, Region 15, New Orleans; Karen Rengstorf, Supervisory Compliance Officer, Region 14, St. Louis; Raymond Willms, Supervisory Compliance Officer, Region 19, Seattle; Andrew Young, Supervisory Compliance Officer, Region 32, Oakland (who also served as editor for the manual); and Dana Hesse, Deputy to the Assistant General Counsel, Division of Operations Management.

This manual symbolizes the importance of compliance work, as well as my commitment to enhancing the resources available to employees of the Office of the General Counsel. I know that you will find it to be of great assistance in you future compliance assignments.

Jerry M. Hunter General Counsel

Purpose of Manual

This manual addresses National Labor Relations Board compliance proceedings. It is the third part of a three-part casehandling manual system designed to provide procedural and operational guidance for the Agency's staff in administering the National Labor Relations Act. Part One addresses unfair labor practice proceedings and Part Two addresses representation proceedings.

This manual has been prepared by the General Counsel of the National Labor Relations Board pursuant to powers delegated to him/her by the Board and his/her authority under Section 3(d) of the Act.

It is designed only to provide procedural and operational guidance for the Agency's staff in administering the National Labor Relations Act, and is not intended to be a compendium of substantive or procedural law, nor a substitute for a knowledge of the law.

The guidelines are not rulings or directives of the General Counsel or the Board and are not a form of authority binding on the General Counsel or the Board.